Kuali Research Tools

March 14, 2023

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BEFORE WE GET STARTED

- This session will be recorded.

- The chat has been disabled, please use the Q&A function to submit questions.

- At the end of the session, time permitting, we will have a Q&A session.

- As a reminder, this preview session is not formal training. You will be introduced to new terms and concepts, resources, and see system sneak peeks!

SESSION TOPICS

Kuali Overview

What is Kuali Research?

IACUC Demo

Provide a demo of the new IACUC for APUs in Kuali.

IRB Plans

Briefly discuss upcoming implementation plans for Kuali IRB.

Build Demo

Demo a few Kuali Build forms launching as part of the Impact23 implementation.

OVERVIEW

KUALI RESEARCH

UC Riverside is transitioning to cloud-based research applications by Kuali to standardize the processes for research proposals, funding, administration, and documentation across various departments.

Sponsored Programs

Compliance

Export Control Management

QUICK DEFINITIONS

kuali

Kuali Module	What Does It Do?	Replaces
Sponsored Programs	**Kuali Sponsored Programs** integrates with **Oracle Project Portfolio Management (PPM)** and is an integral part of the Impact23 comprehensive suite of cloud-based solutions that will go live on July 5, 2023. • Pre-Award: The **Proposal** module allows investigators and administrators to collaborate on proposals and enables proposal compliance with requirements at both the sponsor and institutional levels. Such module includes a system-to-system feature for building proposals for submission to Grants.gov (including errors and warning validations). • Post-Award Management (Non-Financial): For awards that have been granted to the institution, award data is centralized and managed in the **Award, Report Tracking, and Subaward** modules.	• eCAF • Cayuse Proposal System-to-System for Submission of Grants.gov Applications • COEUS
Compliance	• **Kuali COI** is a secure, web based system for faculty and staff to submit and manage their conflict of interest disclosure activities. • **Kuali Institutional Animal Care and Use Committee (IACUC)** is the research administration system for the submission and review of Animal Use Protocols (AUPs) • **Kuali Institutional Review Board (IRB)** will be the research administration system for the submission and review of human subject research protocol applications.	• UCR AUP form • UCR IRB form
Build	With **Kuali Build**, UCR will replace homegrown applications/processes with custom forms and workflows. The form are designed specifically to send and automate requests or approvals to anyone on campus and know whose 'desk' it's on.	• Pre-Award Spending Request System • Material Transfer Request System • eAward • PI Eligibility Request by Exception System
Export Control Management	The **Export Control Management** module will enable UCR to capture, track, monitor, and analyze its export control activities in one secure location. (Coming post go-live)	• N/A

KUALI IMPLEMENTATION TIMELINE

IACUC → IRB → Sponsored Programs, COI & Build → Export Control

January 2023 — May 2023 — July 2023 — TBD

KUALI COMPLIANCE – IACUC

- ## WHY THE CHANGE?

- ## ACTIVE DATA MIGRATION

- ## TRAINING

  IACUC administrators are currently scheduling Zoom training sessions to assist researchers in transitioning their existing AUPs into UCR's Kuali system. If you need to get your AUP into Kuali for IACUC review, you can contact kualitraining@ucr.edu to schedule a training session.

IACUC RESOURCES

Available Resources:

https://research.ucr.edu/kuali-research

IACUC Support
Office Hours
Every Wednesday, from 1:00 pm - 2:00 pm
Meeting ID: 940 1840 9774
Passcode: 955453

Tutorials Available
for AUP Submission

KUALI COMPLIANCE – IRB

- CURRENTLY IN DEVELOPMENT

- DATA MIGRATION
  Limited information from existing approved studies will be migrated into the new system. Researchers may have to complete the new form for a current study if an amendment request is submitted.

- TRAINING
  Resources will be developed to assist researchers with navigating the system.

KUALI BUILD FOR RESEARCH

- Kuali Build enables UCR to develop custom digital forms with integrated workflow automation, permissions, routing and notifications; and enables such features as e-Signature on-the-go and form approval tracking for transparency.

- Forms will be built and delivered pre and post go-live based on prioritization.

- Some of the Kuali Build forms planned for go-live are described on the next few slides.

- Demo - Let's take a sneak peek at a few of the forms under development.

Kuali Build

Form Name	Description
PreAward Spending Request (PSR) o **Modified PreAward Spending Request** *The Modified PSR form may only be used when a PSR has already been processed (i.e., established as a PreAward via the Kuali Award module) <u>yet the anticipated funded award from the sponsor has not yet been received</u> (and such delays result in the need to request additional PreAward Spending time and/or money).*	A PreAward Spending Request (PSR) is used to obtain internal approval to incur expenditures, related to a specific contract, grant, or cooperative agreement, prior to the sponsor's issuance of the fully executed award. A PSR should be considered when, for instance, an official award has not been executed due to external delays or due to delays in negotiation of award terms, yet there is a firm project start date and award amount, and the project would be adversely affected by the delay. The PSR also identifies the department/organization Alternate Chart of Account that will be charged, to cover unfunded Pre-Award expenditures incurred, in the event the funding is not received or in the event the Pre-Award Spending period does not coincide with the project period of the funded award received. The PSR and Modified PSR forms will replace the current On-line PreAward Spending Request System. Such forms will include the necessary permissions, automations, routing, certifications and approvals embedded therein. Once approved, RED will process a PreAward in the Kuali Award module and post to Oracle PPM.
Subaward/Subcontract Request Form (SRF)	The Subaward/Subcontract Request (SRF) will replace the current Subaward Verification Form. This same form may be used to request either: o A new Subaward/Subcontract; or o An Amendment to an existing Subaward/Subcontract (e.g., for Year 2 funding, for a no-cost time extension, etc.) A link to the SRF will be included in the email notification releasing a new Award. If a subaward or subcontract is required thereunder, the SFR must be completed by the PI/Dept to initiate the necessary subrecipient monitoring and risk assessment activities required of the SPA Subaward Team (per 2 CFR Part 200 and UC Policy) prior to the issuance of a new subaward/subcontract (or an amendment thereto).

Form Name	Description
Sole Source Justification (SSJ) Form	The **SSJ** must be submitted by the principal investigator when a subcontract is proposed for a sponsored research project that is to be funded, under a Federal Contract, without seeking multiple bids.
PI by Exception Request	A copy of the existing form that must be uploaded into EACS is located here: "Request for Exception to Policy Regarding PI Eligibility" This form will be re-created using Kuali Build and include the necessary routing and approvals at go-live. Information regarding PI Eligibility and how to seek a PI by Exception may be found at https://research.ucr.edu/spa/lifecycle/proposalpreparation/pi-eligibility
Material Transfer Request	A **Material Transfer Request** is used to request the transfer of incoming and/or outgoing material and to initiate the negotiation and execution of the requisite Material Transfer Agreement (MTA). An MTA is a contractual instrument between UCR and another entity which specifies the terms and conditions governing the release of certain research materials -- those materials coming from another entity into UCR (incoming materials) or those materials provided by UCR to another entity (outgoing materials).
Unfunded Agreement Request	The Unfunded Agreement Request form is used to request the review, negotiation and/or execution of an unfunded agreement (e.g., Data Use Agreement, Memorandum of Understanding, Confidentiality Agreement) by and between the university and another entity. *Note: These may or may not be linked to a proposal or funded research project.*
Chart of Accounts – Sponsored Awards (for Cost Sharing and/or Default Override) One form with multiple uses: ○ Cost Sharing COA ○ Default Override (of Activity, Function and/or Program Code)	The **Chart of Accounts – Sponsored Awards** form is to be used (following the posting of the Kuali Award to Oracle PPM) in the following applicable circumstance(s): ○ If the award requires cost sharing, the **Chart of Accounts – Sponsored Awards** form must be completed by the department and auto-routed to emf@ucr.edu. The form will require the department to indicate the following COA elements related to the cost sharing funding source: Entity/Fund/Activity/Function/Program. ○ If the department desires a new Award to be set-up under a different value than the default activity code, function and/or program code, the **Chart of Accounts – Sponsored Awards** form must be completed and auto-routed to emf@ucr.edu within 48 hours of Award release. The form will require the department to indicate the Override Activity Code, Override Function Code and/or Override Program Code. *Note: When a Award notice is issued, the email will contain a link to access the **Chart of Accounts – Sponsored Awards** form.*